

Return of Organization Exempt From Income Tax

OMB No 1545-0047

2001

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2001 calendar year, OR tax year beginning , and ending

- B Check if applicable**
- Address change
 - Name change
 - Initial return
 - Final return
 - Amended return
 - Application pending

C Name of organization
American Society For The Prevention Of Cruelty To Animals

D Employer identification number
13-1623829

E Telephone number
(212) 876-7700

F Accounting method Cash Accrual
 Other (specify)

Number and street (or P.O. box if mail is not delivered to street address) Room/suite
424 East 92nd Street

City or town State or country ZIP + 4
New York, NY 10128-6804

Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

- H and I are not applicable to section 527 organizations
- H(a) Is this a group return for affiliates? Yes No
- H(b) If "Yes," enter number of affiliates N/A
- H(c) Are all affiliates included? Yes No
(If "No," attach a list. See instructions.)
- H(d) Is this a separate return filed by an organization covered by a group ruling? Yes No

G Web site

J Organization type (check only one) 501(c) (3) (insert no) 4947(a)(1) or 527

K Check here if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return.

I Enter 4-digit GEN

M Check if the organization is not required to attach Sch. B (Form 990, 990-EZ, or 990-PF)

L Gross receipts Add lines 6b, 8b, 9b, and 10b to line 12 **45,502,737**

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See Specific Instructions on page 16)

| | | | | | | |
|--|--|--|----------------|------------|-------------|--|
| R e v e n u e | 1 | Contributions, gifts, grants, and similar amounts received | | | | |
| | a | Direct public support | 1a | 32,362,967 | | |
| | b | Indirect public support | 1b | 614,883 | | |
| | c | Government contributions (grants) | 1c | | | |
| | d | Total (add lines 1a through 1c) (cash \$ 30,010,632 noncash \$ 2,967,018) | 1d | | 32,977,650 | |
| | 2 | Program service revenue including government fees and contracts (from Part VII, line 93) | 2 | | 5,521,644 | |
| | 3 | Membership dues and assessments | 3 | | | |
| | 4 | Interest on savings and temporary cash investments | 4 | | 184,622 | |
| | 5 | Dividends and interest from securities | 5 | | 1,128,263 | |
| | 6a | Gross rents | 6a | 178,206 | | |
| | b | Less rental expenses | 6b | 84,785 | | |
| | c | Net rental income or (loss) (subtract line 6b from line 6a) | 6c | | 93,421 | |
| 7 | Other investment income (describe) | 7 | | | | |
| n | 8a | Gross amount from sales of assets other than inventory | (A) Securities | | (B) Other | |
| | | | 3,520,403 | 8a | | |
| | b | Less cost or other basis and sales expenses | 4,180,790 | 8b | | |
| c | Gain or (loss) (attach schedule) | (660,387) | 8c | 0 | | |
| d | Net gain or (loss) (combine line 8c, columns (A) and (B)) | 8d | | (660,387) | | |
| e | 9 | Special events and activities (attach schedule) | | | | |
| | a | Gross revenue (not including contributions reported on line 1a) | 9a | 533,640 | | |
| | b | Less direct expenses other than fundraising expenses | 9b | 286,032 | | |
| c | Net income or (loss) from special events (subtract line 9b from line 9a) | 9c | | 247,608 | | |
| 10 | 10a | Gross sales of inventory, less returns and allowances | 10a | | | |
| | b | Less cost of goods sold | 10b | | | |
| | c | Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a) | 10c | | 0 | |
| 11 | Other revenue (from Part VII, line 103) | 11 | | 1,458,309 | | |
| 12 | Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11) | 12 | | 40,951,130 | | |
| Ex- p- e- n- s- e- s | 13 | Program services (from line 44, column (B)) | 13 | | 28,547,317 | |
| | 14 | Management and general (from line 44, column (C)) | 14 | | 715,631 | |
| | 15 | Fundraising (from line 44, column (D)) | 15 | | 8,787,463 | |
| | 16 | Payments to affiliates (attach schedule) | 16 | | | |
| | 17 | Total expenses (add lines 16 and 44, column (A)) | 17 | | 38,050,411 | |
| Net Assets | 18 | Excess or (deficit) for the year (subtract line 17 from line 12) | 18 | | 2,900,719 | |
| | 19 | Net assets or fund balances at beginning of year (from line 73, column (A)) | 19 | | 61,819,682 | |
| | 20 | Other changes in net assets or fund balances (attach explanation) | 20 | | (3,725,461) | |
| | 21 | Net assets or fund balances at end of year (combine lines 18, 19, and 20) | 21 | | 60,994,940 | |

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Part II Statement of Functional Expenses

All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others. (See Specific Instructions on page 21.)

| Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I | | (A) Total | (B) Program services | (C) Management and general | (D) Fundraising |
|--|---|------------|----------------------|----------------------------|-----------------|
| 22 | Grants and allocations (attach schedule) (cash \$ <u>1,259,325</u> noncash \$ _____) | 1,259,325 | 1,259,325 | | |
| 23 | Specific assistance to individuals (attach schedule) | 0 | | | |
| 24 | Benefits paid to or for members (attach schedule) | 0 | | | |
| 25 | Compensation of officers, directors, etc | 511,831 | 389,554 | 57,638 | 64,639 |
| 26 | Other salaries and wages | 11,165,192 | 9,990,378 | 263,127 | 911,687 |
| 27 | Pension plan contributions | 351,964 | 316,676 | 7,077 | 28,211 |
| 28 | Other employee benefits | 1,481,605 | 1,333,063 | 29,788 | 118,754 |
| 29 | Payroll taxes | 854,362 | 768,705 | 17,178 | 68,479 |
| 30 | Professional fundraising fees | 1,049,758 | | | 1,049,758 |
| 31 | Accounting fees | 60,834 | 52,068 | 4,508 | 4,258 |
| 32 | Legal fees | 268,914 | 146,834 | 53,672 | 68,408 |
| 33 | Supplies | 1,078,670 | 1,047,987 | 5,610 | 25,073 |
| 34 | Telephone | 324,979 | 304,348 | 4,486 | 16,145 |
| 35 | Postage and shipping | 534,403 | 500,718 | 2,195 | 31,490 |
| 36 | Occupancy | 1,022,130 | 944,019 | 21,480 | 56,631 |
| 37 | Equipment rental and maintenance/data processing | 756,641 | 725,978 | 13,732 | 16,931 |
| 38 | Printing and publications | 862,661 | 849,819 | 298 | 12,544 |
| 39 | Travel | 420,147 | 382,942 | 6,137 | 31,068 |
| 40 | Conferences, conventions and meetings | 229,704 | 209,302 | 3,093 | 17,309 |
| 41 | Interest | 0 | | | |
| 42 | Depreciation depletion, etc (attach schedule) | 980,525 | 888,924 | 27,102 | 64,499 |
| 43 | Other expenses not covered above (itemize) a Insurance | 172,855 | 156,784 | 6,521 | 9,550 |
| b | Direct mail costs | 9,028,995 | 3,013,581 | 151,370 | 5,864,044 |
| c | Veterinary and medical services | 1,078,188 | 1,078,188 | | |
| d | Advertising and other professional services | 1,119,891 | 813,505 | 35,525 | 270,861 |
| e | Media productions | 3,132,489 | 3,132,489 | | |
| f | Miscellaneous | 304,348 | 242,130 | 5,094 | 57,124 |
| 44 | Total functional expenses (add lines 22 through 43) Organizations completing columns (B) - (D), carry these totals to lines 13 - 15 | 38,050,411 | 28,547,317 | 715,631 | 8,787,463 |

Joint Costs Check if you are following SOP 98-2

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? Yes No
 If "Yes" enter (i) the aggregate amount of these joint costs \$ 8,831,489, (ii) the amount allocated to Program services \$ 2,713,581
 (iii) the amount allocated to Management and general \$ 151,370, and (iv) the amount allocated to Fundraising \$ 5,019,240

Part III Statement of Program Service Accomplishments

(See Specific Instructions on page 24.)

What is the organization's primary exempt purpose? Animal Welfare - Prevention of cruelty to animals

Program Service Expenses

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.) See Statement 1

(Required for 501(c)(3) and (4) orgs and 4947(a)(1) trusts but optional for others.)

| | | |
|---|--|------------|
| a | | |
| | Animal hospital and mobile veterinary clinics (Grants and allocations \$ _____) | 5,647,100 |
| b | | |
| | Animal Poison Control Center (Grants and allocations \$ _____) | 3,389,702 |
| c | | |
| | Humane Education (Grants and allocations \$ _____) | 7,198,543 |
| d | | |
| | Communications (Grants and allocations \$ _____) | 6,306,258 |
| e | Other program services (attach schedule) (Grants and allocations \$ _____) | 6,005,714 |
| f | Total of Program Service Expenses (should equal line 44, column (B), Program services) | 28,547,317 |

Part IV Balance Sheets

(See Specific Instructions on page 24)

| Note | Where required, attached schedules and amounts within the description column should be for end-of-year amounts only | (A) Beginning of year | | (B) End of year |
|---|---|--------------------------|-----------|--------------------|
| Assets | | | | |
| 45 | Cash - non-interest-bearing | 297,927 | 45 | -178,423 |
| 46 | Savings and temporary cash investments | 3,908,324 | 46 | 7,983,404 |
| 47a | Accounts receivable | | | |
| b | Less allowance for doubtful accounts | 1,524,875 | 47c | 1,490,289 |
| 48a | Pledges receivable | | | |
| b | Less allowance for doubtful accounts | 0 | 48c | 0 |
| 49 | Grants receivable | | 49 | |
| 50 | Receivables from officers, directors, trustees, and key employees (attach schedule) | | 50 | |
| 51a | Other notes and loans receivable (attach schedule) | | | |
| b | Less allowance for doubtful accounts | 0 | 51c | 0 |
| 52 | Inventories for sale or use | 111,013 | 52 | 105,554 |
| 53 | Prepaid expenses and deferred charges | 534,876 | 53 | 317,183 |
| 54 | Investments - securities (attach schedule) <input type="checkbox"/> Cost <input checked="" type="checkbox"/> FMV | 23,722,290 | 54 | 22,313,962 |
| 55a | Investments - land, buildings, and equipment basis | | | |
| b | Less accumulated depreciation (attach schedule) | 0 | 55c | 0 |
| 56 | Investments - other (attach schedule) | 0 | 56 | 0 |
| 57a | Land, buildings, and equipment basis | | | |
| b | Less accumulated depreciation (attach schedule) | 20,337,698 | 57c | 20,431,339 |
| 58 | Other assets (describe <u>Beneficial interests in perpetual trusts held by others</u>) | 15,522,809 | 58 | 13,575,672 |
| 59 | Total assets (add lines 45 through 58) (must equal line 74) | 65,959,812 | 59 | 66,038,980 |
| Liabilities | | | | |
| 60 | Accounts payable and accrued expenses | 2,957,839 | 60 | 3,463,746 |
| 61 | Grants payable | | 61 | |
| 62 | Deferred revenue | | 62 | |
| 63 | Loans from officers, directors, trustees, and key employees (attach schedule) | | 63 | |
| 64a | Tax-exempt bond liabilities (attach schedule) | | 64a | |
| b | Mortgages and other notes payable (attach schedule) | | 64b | |
| 65 | Other liabilities (describe <u>Gift annuity obligations</u>) | 1,182,291 | 65 | 1,580,294 |
| 66 | Total liabilities (add lines 60 through 65) | 4,140,130 | 66 | 5,044,040 |
| Net Assets or Fund Balances | | | | |
| Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74 | | | | |
| 67 | Unrestricted | 43,652,569 | 67 | 43,704,155 |
| 68 | Temporarily restricted | 1,907,154 | 68 | 2,970,114 |
| 69 | Permanently restricted | 16,259,959 | 69 | 14,320,671 |
| Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74 | | | | |
| 70 | Capital stock, trust principal, or current funds | | 70 | |
| 71 | Paid-in or capital surplus or land, building, and equipment fund | | 71 | |
| 72 | Retained earnings, endowment, accumulated income, or other funds | | 72 | |
| 73 | Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72; column (A) must equal line 19, column (B) must equal line 21) | 61,819,682 | 73 | 60,994,940 |
| 74 | Total liabilities and net assets/fund balances (add lines 66 and 73) | 65,959,812 | 74 | 66,038,980 |

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

Part IV-A Reconciliation of Revenue per Audited Financial Statements with Revenue per Return (See Specific Instructions, page 26)

| | | | |
|-----|--|--------------|------------|
| a | Total revenue gains and other support per audited financial statements | a | 40,811,451 |
| b | Amounts included on line a but not on line 12 Form 990 | | |
| (1) | Net unrealized gains on investments | \$ | |
| (2) | Donated services and use of facilities | \$ | |
| (3) | Recoveries of prior year grants | \$ | |
| (4) | Other (specify) Investment income for operations | \$ 1,500,000 | |
| | Add amounts on lines (1) thru (4) | b | 1,500,000 |
| c | Line a minus line b | c | 39,311,451 |
| d | Amounts included on line 12 Form 990 but not on line a | | |
| (1) | Investment expenses not included on line 6b, Form 990 | \$ | |
| (2) | Other (specify) Non-operating Bequests | 1 414 7 60 | |
| | Investment income | \$ 224,919 | |
| | Add amounts on lines (1) and (2) | d | 1,639,679 |
| e | Total revenue per line 12 Form 990 (line c plus line d) | e | 40,951,130 |

Part IV-B Reconciliation of Expenses per Audited Financial Statements with Expenses per Return

| | | | |
|-----|--|----|------------|
| a | Total expenses and losses per audited financial statements | a | 38,050,411 |
| b | Amounts included on line a but not on line 17, Form 990 | | |
| (1) | Donated services and use of facilities | \$ | |
| (2) | Prior year adjustments reported on line 20, Form 990 | \$ | |
| (3) | Losses reported on line 20 Form 990 | \$ | |
| (4) | Other (specify) | \$ | |
| | Add amounts on lines (1) thru (4) | b | 0 |
| c | Line a minus line b | c | 38,050,411 |
| d | Amounts included on line 17 Form 990 but not on line a | | |
| (1) | Investment expenses not included on line 6b Form 990 | \$ | |
| (2) | Other (specify) | \$ | |
| | Add amounts on lines (1) and (2) | d | 0 |
| e | Total expenses per line 17 Form 990 (line c plus line d) | e | 38,050,411 |

Part V List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated see Specific Instructions on page 26.)

| (A) Name and address | (B) Title and average hours per week devoted to position | (C) Compensation (if not paid enter -0-) | (D) Contributions to employee benefit plans & deferred compensation | (E) Expense account and other allowances |
|---|--|--|---|--|
| Dr Larry M Hawk 424 East 92nd Street New York, NY 10128 | President 40 | 286,558 | 14,924 | None |
| Stephen G Eudene 424 East 92nd Street New York, NY 10128 | Senior VP & CFO 40 | 108,275 | 12,101 | None |
| Stephen Musso 424 East 92nd Street New York, NY 10128 | Sr V-P, Operations 40 | 116,998 | 12,166 | None |
| Members of Board of Directors - see Statement 8 | | | | |

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than \$100,000 from your organization and all related organizations, of which more than \$10,000 was provided by the related organizations? Yes No
If "Yes" attach schedule - see Specific Instructions on page 27

Part VI Other Information

(See Specific Instructions on page 27.)

Yes or No

| | | | |
|-----|---|-----|------------------------------|
| 76 | Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity | 76 | |
| 77 | Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes" attach a conformed copy of the changes | 77 | No |
| 78a | Did the organization have unrelated business gross income of \$1 000 or more during the year covered by this return? | 78a | Yes |
| b | If "Yes" has it filed a tax return on Form 990-T for this year? | 78b | Yes |
| 79 | Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement | 79 | No |
| 80a | Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization? | 80a | No |
| b | If "Yes," enter the name of the organization _____ and check whether it is <input type="checkbox"/> exempt OR <input type="checkbox"/> nonexempt | | |
| 81a | Enter direct or indirect political expenditures See line 81 instructions | 81a | None |
| b | Did the organization file Form 1120-POL for this year? | 81b | No |
| 82a | Did the organization receive donated services or the use of materials equipment, or facilities at no charge or at substantially less than fair rental value? Volunteer Dog Walkers | 82a | Yes |
| b | If "Yes" you may indicate the value of these items here Do not include this amount as revenue in Part I or as an expense in Part II (See instructions in Part III) | 82b | N/A |
| 83a | Did the organization comply with the public inspection requirements for returns and exemption applications? | 83a | Yes |
| b | Did the organization comply with the disclosure requirements relating to quid pro quo contributions? | 83b | Yes |
| 84a | Did the organization solicit any contributions or gifts that were not tax deductible? | 84a | No |
| b | If "Yes" did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | 84b | N/A |
| 85 | 501(c)(4) (5) or (6) organizations a Were substantially all dues nondeductible by members? | 85a | N/A |
| b | Did the organization make only in-house lobbying expenditures of \$2,000 or less? If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year | 85b | N/A |
| c | Dues, assessments and similar amounts from members | 85c | N/A |
| d | Section 162(e) lobbying and political expenditures | 85d | N/A |
| e | Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices | 85e | N/A |
| f | Taxable amount of lobbying and political expenditures (line 85d less 85e) | 85f | 0 |
| g | Does the organization elect to pay the section 6033(e) tax on the amount on line 85f? | 85g | |
| h | If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year? | 85h | N/A |
| 86 | 501(c)(7) orgs Enter a Initiation fees and capital contributions included on line 12 | 86a | N/A |
| b | Gross receipts included on line 12 for public use of club facilities | 86b | N/A |
| 87 | 501(c)(12) orgs Enter a Gross income from members or shareholders | 87a | N/A |
| b | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them) | 87b | N/A |
| 88 | At any time during the year did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX | 88 | No |
| 89a | 501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911 None, section 4912 None, section 4955 None | | |
| b | 501(c)(3) and 501(c)(4) orgs Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction | 89b | No |
| c | Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912 4955 and 4958 | | |
| d | Enter Amount of tax on line 89c, above, reimbursed by the organization | | |
| 90a | List the states with which a copy of this return is filed Statement 10 - List of States | | |
| b | Number of employees employed in the pay period that includes March 12, 2001 (See instructions) | 90b | 253 |
| 91 | The books are in care of ASPCA Telephone no (212)876-7700 Located at 424 East 92nd Street New York, NY ZIP + 4 10128-6804 | | |
| 92 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the tax year | 92 | N/A <input type="checkbox"/> |

Part VII Analysis of Income-Producing Activities

(See Specific Instructions on page 32)

Note Enter gross amounts unless otherwise indicated

| | Unrelated business income | | Excluded by section 512, 513, or 514 | | (E) Related or exempt function income |
|--|---------------------------|---------------|--------------------------------------|---------------|---|
| | (A) Business code | (B) Amount | (C) Exclusion code | (D) Amount | |
| 93 Program service revenue | | | | | |
| a Animal hospital & clinic | | | | | 2,947,180 |
| b Animal poison control center | | | | | 2,248,372 |
| c Animal placement fees | | | | | 155,640 |
| d Animal behavior training fees | | | | | 89,940 |
| e Education/outreach materials | | | | | 80,512 |
| f Medicare/Medicaid payments | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 94 Membership dues and assessments | | | | | |
| 95 Interest on savings and temporary cash investments | | | 14 | 184,622 | |
| 96 Dividends and interest from securities | | | 14 | 1,128,263 | |
| 97 Net rental income or (loss) from real estate | | | | | |
| a debt-financed property | | | | | |
| b not debt-financed property | | | 16 | 93,421 | |
| 98 Net rental income or (loss) from personal property | | | | | |
| 99 Other investment income | | | | | |
| 100 Gain or (loss) from sales of assets other than inventory | | | 18 | (660,387) | |
| 101 Net income or (loss) from special events | | | 01 | 247,608 | |
| 102 Gross profit or (loss) from sales of inventory | | | | | |
| 103 Other revenue | | | | | |
| a Advertising | 511120 | 7,680 | | | |
| b Royalties and licensing | | | 15 | 999,495 | |
| c List sales | | | 13 | 419,279 | |
| d Horse show & misc | | | 01 | 31,855 | |
| e | | | | | |
| 104 Subtotal (add cols (B) (D), and (E)) | | 7,680 | | 2,444,156 | 5,521,644 |
| 105 Total (add line 104 columns (B), (D), and (E)) | | | | | 7,973,480 |

Note Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes

(See Specific Instructions on page 32)

| Line No | Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) |
|---------|--|
| | Statement 9 |

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities

(See Specific Instructions on page 33)

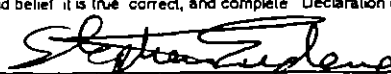
| (A) Name, address, and EIN of corporation, partnership, or disregarded entity | (B) Percentage of ownership interest | (C) Nature of activities | (D) Total income | (E) End-of-year assets |
|---|--|-----------------------------|------------------------|------------------------------|
| | % | | | |
| | % | | | |
| | % | | | |
| | % | | | |

Part X Information Regarding Transfers Associated with Personal Benefit Contracts

(See Specific Instructions on page 33)

- (a) Did the organization during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
- (b) Did the organization, during the year, pay premiums, directly or indirectly on a personal benefit contract? Yes No

Note If Yes to (b), file Form 8870 and Form 4720 (see instructions)

Please Sign  Date 7/12/02

Sr VP & CFO

| | | |
|---------|--------------------------|---|
| Date | Check if self- | Preparer's SSN or PTIN (See Gen Inst W) |
| 7/12/02 | <input type="checkbox"/> | 101-123-123 |

SCHEDULE A
(Form 990 or 990-EZ)

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k),
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

OMB No 1545-0047

2001

Supplementary Information - (See separate instructions)

Department of the Treasury
Internal Revenue Service

MUST be completed by the above organizations and attached to their Form 990 or 990-EZ

Name of the organization
American Society For The Prevention Of Cruelty To Animals

Employer identification number
13-1623829

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees
(See page 1 of the instructions List each one If there are none, enter "None ")

| (a) Name and address of each employee paid more than \$50,000 | (b) Title and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans & deferred compensation | (e) Expense account and other allowances |
|---|--|------------------|---|--|
| Barbara Garber 424 E 92nd St New York, NY 10128 | Senior V-P 40 | 119,760 | 5,186 | None |
| Dr Steven R Hansen 1717 S Philo Rd Urbana, IL 61802 | Senior V-P 40 | 118,090 | 10,650 | None |
| Dr Arnold Plotnick 424 E 92nd St New York, NY 10128 | Veterinarian 40 | 114,122 | 5,145 | None |
| Dr Steven Zawistowski 424 E 92nd St New York, NY 10128 | Senior V-P 40 | 110,723 | 12,119 | None |
| Inez Segerra 424 E 92nd St New York, NY 10128 | Vice President 40 | 103,894 | 5,069 | None |
| Total number of other employees paid over \$50,000 | 68 | | | |

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services

(See page 2 of the instructions List each one (whether individuals or firms) If there are none, enter "None ")

| (a) Name and address of each independent contractor paid more than \$50,000 | (b) Type of service | (c) Compensation |
|--|-------------------------------|------------------|
| O'Brien, McConnel & Pearson 1726 M Street NW Washington, DC 20036 | Fund Raising Consultant | 430,000 |
| Praine City Consulting 115 W Main St Urbana IL 61803 | Computer Software Consultants | 381,525 |
| All-Kie Computer Consultants, Inc 212 Third Avenue North Minneapolis, MN 55401 | Computer Software Consultants | 342,222 |
| Share Group 99 Dover Street Somerville, MA 02144 | Fund Raiser | 285,531 |
| Rosen, Weinhaus, LLP 40 Wall Street New York, NY 10005 | Legal | 198,645 |
| Total number of others receiving over \$50,000 for professional services | 8 | |

Part III Statements About Activities (See page 2 of the instructions)

| | Yes | No |
|---|-----|----|
| 1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities \$ <u>653,149</u> (Must equal amounts on line 38, Part VI-A, or line i of Part VI-B) Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities | X | |
| 2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions) | | |
| a Sale, exchange, or leasing of property? | | X |
| b Lending of money or other extension of credit? | | X |
| c Furnishing of goods, services, or facilities? | | X |
| d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)? See 990, Part V | X | |
| e Transfer of any part of its income or assets? | | X |
| 3 Does the organization make grants for scholarships, fellowships, student loans, etc ? (See Note below) Statement 5 | X | |
| 4 Do you have a section 403(b) annuity plan for your employees? | X | |
| Note Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments | | |

Part IV Reason for Non-Private Foundation Status (See pages 3 through 6 of the instructions)

- The organization is not a private foundation because it is (Please check only ONE applicable box)
- 5 A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)
 - 6 A school Section 170(b)(1)(A)(ii) (Also complete Part V)
 - 7 A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)
 - 8 A Federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)
 - 9 A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state _____
 - 10 An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the Support Schedule in Part IV-A)
 - 11a An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the Support Schedule in Part IV-A)
 - 11b A community trust Section 170(b)(1)(A)(vi) (Also complete the Support Schedule in Part IV-A)
 - 12 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc , functions- subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the Support Schedule in Part IV-A)
 - 13 An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

| (a) Name(s) of supported organization(s) | (b) Line number from above |
|--|----------------------------|
| | |
| | |
| | |

- 14 An organization organized and operated to test for public safety Section 509(a)(4) (See page 6 of the instructions)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12) Use cash method of accounting

NOTE You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

| Calendar year (or fiscal year beginning in) | (a) 2000 | (b) 1999 | (c) 1998 | (d) 1997 | (e) Total |
|---|------------|------------|------------|------------|-------------|
| 15 Gifts, grants, and contributions received (Do not include unusual grants See line 28) | 22,957,235 | 26,861,798 | 20,903,006 | 17,686,575 | 88,408,614 |
| 16 Membership fees received | | | | | 0 |
| 17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose | 4,967,839 | 4,788,315 | 4,255,698 | 3,562,348 | 17,574,200 |
| 18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975 | 3,584,764 | 2,829,226 | 915,473 | 765,227 | 8,094,690 |
| 19 Net income from unrelated business activities not included in line 18 | | | | | 0 |
| 20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf | | | | | 0 |
| 21 The value of services or facilities furnished to the organization by a governmental unit without charge Do not include the value of services or facilities generally furnished to the public without charge | | | | | 0 |
| 22 Other income Attach a schedule Do not include gain or (loss) from sale of capital assets | | | | | 0 |
| 23 Total of lines 15 through 22 | 31,509,838 | 34,479,339 | 26,074,177 | 22,014,150 | 114,077,504 |
| 24 Line 23 minus line 17 | 26,541,999 | 29,691,024 | 21,818,479 | 18,451,802 | 96,503,304 |
| 25 Enter 1% of line 23 | 315,098 | 344,793 | 260,742 | 220,142 | |

| | | | |
|--|---|-----|------------|
| 26 Organizations described on lines 10 or 11 | a Enter 2% of amount in column (e), line 24 | 26a | 1,930,066 |
| b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a Do not file this list with your return Enter the total of all these excess amounts | | 26b | 0 |
| c Total support for section 509(a)(1) test Enter line 24, column (e) | | 26c | 96,503,304 |
| d Add Amounts from column (e) for lines | 18 <u>8,094,690</u> 19 <u>0</u> | 26d | 8,094,690 |
| | 22 <u>0</u> 26b <u>0</u> | 26e | 88,408,614 |
| e Public support (line 26c minus line 26d total) | | 26f | 91.61% |
| f Public support percentage (line 26e (numerator) divided by line 26c (denominator)) | | | |

27 Organizations described on line 12:

a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." Do not file this list with your return Enter the sum of such amounts for each year

(2000) _____ (1999) _____ (1998) _____ (1997) _____

b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000 (Include in the list organizations described in lines 5 through 11, as well as individuals.) Do not file this list with your return After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year

(2000) _____ (1999) _____ (1998) _____ (1997) _____

| | | | |
|--|--------------------------------------|-----|-------|
| c Add Amounts from column (e) for lines | 15 <u>0</u> 16 <u>0</u> | 27c | 0 |
| | 17 <u>0</u> 20 <u>0</u> 21 <u>0</u> | 27d | 0 |
| d Add Line 27a total | <u>0</u> and line 27b total <u>0</u> | 27e | 0 |
| e Public support (line 27c total minus line 27d total) | | 27f | 0 |
| f Total support for section 509(a)(2) test Enter amount from line 23, column (e) | | 27g | 0.00% |
| g Public support percentage (line 27e (numerator) divided by line 27f (denominator)) | | 27h | 0.00% |
| h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator)) | | | |

28 Unusual Grants For an organization described in line 10, 11, or 12 that received any unusual grants during 1997 through 2000 prepare a list for your records to show for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant Do not file this list with your return Do not include these grants in line 15

Part V Private School Questionnaire (See page 7 of the instructions)
 (To be completed ONLY by schools that checked the box on line 6 in Part IV)

N/A

| | Yes | No |
|--|-----|----|
| 29 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body? | | |
| 30 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships? | | |
| 31 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement) | | |
| 32 Does the organization maintain the following | | |
| a Records indicating the racial composition of the student body, faculty, and administrative staff? | | |
| b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis? | | |
| c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships? | | |
| d Copies of all material used by the organization or on its behalf to solicit contributions? | | |
| If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement) | | |
| 33 Does the organization discriminate by race in any way with respect to | | |
| a Students rights or privileges? | | |
| b Admissions policies? | | |
| c Employment of faculty or administrative staff? | | |
| d Scholarships or other financial assistance? | | |
| e Educational policies? | | |
| f Use of facilities? | | |
| g Athletic programs? | | |
| h Other extracurricular activities? | | |
| If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement) | | |
| 34a Does the organization receive any financial aid or assistance from a governmental agency? | | |
| b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement | | |
| 35 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation | | |

Part VI-A Lobbying Expenditures by Electing Public Charities (See page 9 of the instructions)

(To be completed ONLY by an eligible organization that filed Form 5768)

Check a if the organization belongs to an affiliated group Check b if you checked "a" and "limited control" provisions apply

Limits on Lobbying Expenditures

(The term "expenditures" means amounts paid or incurred)

| | (a) Affiliated group totals | (b) To be completed for ALL electing organizations |
|--|-----------------------------------|--|
| 36 Total lobbying expenditures to influence public opinion (grassroots lobbying) | 36 | 182,021 |
| 37 Total lobbying expenditures to influence a legislative body (direct lobbying) | 37 | 471,128 |
| 38 Total lobbying expenditures (add lines 36 and 37) | 38 0 | 653,149 |
| 39 Other exempt purpose expenditures | 39 | 28,609,799 |
| 40 Total exempt purpose expenditures (add lines 38 and 39) | 40 0 | 29,262,948 |
| 41 Lobbying nontaxable amount Enter the amount from the following table - If the amount on line 40 is - The lobbying nontaxable amount is - | | |
| Not over \$500,000 20% of the amount on line 40 | | |
| Over \$500,000 but not over \$1,000,000 \$100,000 plus 15% of the excess over \$500,000 | } 41 0 | 1,000,000 |
| Over \$1,000,000 but not over \$1,500,000 \$175,000 plus 10% of the excess over \$1,000,000 | | |
| Over \$1,500,000 but not over \$17,000,000 \$225,000 plus 5% of the excess over \$1,500,000 | | |
| Over \$17,000,000 \$1,000,000 | | |
| 42 Grassroots nontaxable amount (enter 25% of line 41) | 42 0 | 250,000 |
| 43 Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36 | 43 | 0 |
| 44 Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38 | 44 0 | 0 |

Caution If there is an amount on either line 43 or line 44, you must file Form 4720

4 - Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below
See the instructions for lines 45 through 50 on page 11 of the instructions)

| Calendar year (or fiscal year beginning in) | Lobbying Expenditures During 4-Year Averaging Period | | | | |
|---|--|-------------|-------------|-------------|--------------|
| | (a) 2001 | (b) 2000 | (c) 1999 | (d) 1998 | (e) Total |
| 45 Lobbying nontaxable amount | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 4,000,000 |
| 46 Lobbying ceiling amount (150% of line 45(e)) | | | | | 6,000,000 |
| 47 Total lobbying expenditures | 653,149 | 697,087 | 506,471 | 567,389 | 2,424,096 |
| 48 Grassroots nontaxable amount | 250,000 | 250,000 | 250,000 | 250,000 | 1,000,000 |
| 49 Grassroots ceiling amount (150% of line 48(e)) | | | | | 1,500,000 |
| 50 Grassroots lobbying expenditures | 182,021 | 151,376 | 147,211 | 164,129 | 644,737 |

Part VI-B Lobbying Activity by Nonelecting Public Charities

(For reporting only by organizations that did not complete Part VI-A) (See page 12 of the instructions)

| During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of | Yes | No | Amount |
|--|-----|----|--------|
| a Volunteers | | | |
| b Paid staff or management (Include compensation in expenses reported on lines c through h) | | | |
| c Media advertisements | | | |
| d Mailings to members, legislators, or the public | | | |
| e Publications, or published or broadcast statements | | | |
| f Grants to other organizations for lobbying purposes | | | |
| g Direct contact with legislators, their staffs, government officials, or a legislative body | | | |
| h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means | | | |
| i Total lobbying expenditures (Add lines c through h) | | | 0 |

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

Supplementary Information for
line 1 of Form 990, 990-EZ and 990-PF (see instructions)

OMB No 1545-0047

2001

Name of organization

American Society For The Prevention Of Cruelty To Animals

Employer identification number

13-1623829

Organization type (check one)

Filers of

Section

Form 990 or 990-EZ

501(c)(3) (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the General rule or a Special rule (Note Only a section 501(c)(7), (8), or (10) organization can check box(es) for both the General rule and a Special rule - see instructions)

General Rule -

For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules -

For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1) 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of \$5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II) None over 2% of Line 1

For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III)

For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use exclusively for religious, charitable, etc , purposes, but these contributions did not aggregate to more than \$1,000 (if this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc , purpose Do not complete any of the Parts unless the General rule applies to this organization because it received nonexclusively religious, charitable, etc , contributions of \$5,000 or more during the year) \$ _____

Caution Organizations that are not covered by the General rule and/or the Special rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they must check the box in the heading of their Form 990, Form 990-EZ, or on line 1 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545 1709

▶ File a separate application for each return

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box
- If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II (on page 2 of this form)

Note: Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time—Only submit original (no copies needed)

Note. Form 990-T corporations requesting an automatic 6-month extension—check this box and complete Part I only

All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041

| | | |
|--|--|---|
| Type or print | Name of Exempt Organization <i>AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS</i> | Employer identification number <i>13 1623829</i> |
| File by the due date for filing your return See instructions | Number, street and room or suite no. If a P O box, see instructions <i>424 EAST 92ND STREET</i> | |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions <i>NEW YORK NY 10128</i> | |

Check type of return to be filed (file a separate application for each return)

- | | | |
|--|--|------------------------------------|
| <input checked="" type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6-month, for 990-T corporation) extension of time until *NOV 15, 2002* to file the exempt organization return for the organization named above The extension is for the organization's return for
 ▶ calendar year 20*01* or
 ▶ tax year beginning _____, 20____, and ending _____, 20____.

2 If this tax year is for less than 12 months, check reason Initial return Final return Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions \$ _____

b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit \$ _____

c **Balance Due** Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions \$ _____

Signature and Verification

Under penalties of perjury, I declare that I have examined this form including accompanying schedules and statements and to the best of my knowledge and belief it is true correct and complete and that I am authorized to prepare this form

Signature ▶ *[Signature]* Title ▶ *Sr. VP & CFO* Date ▶ *4/11/02*

**American Society for the Prevention of Cruelty to Animals
Federal Employer Identification Number 13-1623829
Form 990 - Return of Organization Exempt From Income Tax - 2001**

Part III, Statement 1 - Program Service Accomplishments

a - Animal Hospital and Mobile Veterinary Clinics (\$5,647,100)

The Bergh Memorial Animal Hospital continues to provide some of the most efficient, comprehensive and state-of-the-art primary veterinary care in the nation. During 2001, over 25,000 cases were handled. The hospital continues to be one of the best values of any animal medical clinic in the area, and for this reason, is able to offer service to a wider cross-section of the local population than most other animal medical facilities.

The Bergh Memorial Animal Hospital played a vital role in the ASPCA's response to the September 11 disaster, setting up two triage centers near Ground Zero and working around the clock to tend to the needs of the companion animals who were rescued by the ASPCA from the many abandoned apartments in lower Manhattan.

The hospital continues to focus on animal abuse cases in order to recognize, document and help prosecute cruelty cases with increased proficiency.

"ASPCA Cares" was created in 2001 as a community outreach program that provides resources, education, and veterinary services to needy animals and pet owners in New York City's five boroughs. The mission of ASPCA Cares is to put an end to the euthanasia of adoptable animals within New York City's five boroughs by addressing the animal crisis at its source - in the heart of the city's local communities. Recognizing that many of the most serious overpopulation and animal health crises arise in neighborhoods with limited access to veterinary care and education on animal issues, ASPCA Cares brings these services directly to needy communities.

Through the use of two fully-equipped mobile veterinary clinics, ASPCA Cares provides free and low-cost spay/neuter surgeries, vaccination clinics, medical wellness exams and disaster relief services to needy animals. In 2001, ASPCA Cares performed 2,800 spay/neuter surgeries, administered grants totaling \$200,000 to needy shelters, rescue groups and pet-owners. ASPCA Cares also established a feral cat Trap Bank and launched a Trap-Neuter-Release program for the humane management of feral cats.

b - ASPCA Animal Poison Control Center (\$3,389,702)

The ASPCA Animal Poison Control Center is the largest, most technologically advanced non-human poison control center in the world. Based in Champaign-Urbana, Illinois, the center is open 24 hours a day, seven days a week, and in 2001 consulted on more than 63,000 new cases from around the United States. The hotline staff consists of 25 licensed veterinarians, nine certified veterinarian technicians and one Doctor of Pharmacy. The licensed veterinary staff now includes five Diplomates of the American Board of Veterinary Toxicology, four of whom are also Diplomates of the American Board of Toxicology.

In addition to saving animal lives through direct intervention, the Poison Control Center is also devoted to educating veterinarians and the general public through toxicology education programs and nontraditional research. In 2001, 20 scientific articles were published or presented, and the staff of veterinary professionals presented more than 40 hours of continuing education, including toxicology lectures at 18 different veterinary colleges and universities across the United States and Canada.

**American Society for the Prevention of Cruelty to Animals
Federal Employer Identification Number 13-1623829
Form 990 - Return of Organization Exempt From Income Tax - 2001**

Part III, Statement 1 - Program Service Accomplishments

b - ASPCA Animal Poison Control Center (cont'd)

In 2001, the Center launched AnTox™, a novel electronic veterinary toxicology database system that contains more than 600,000 cases of animal poisonings, and that will enable the Center to provide more comprehensive information about past case experiences and act as a surveillance model for emerging problems in toxicology

The Center maintained affiliations with the Veterinary Information Network and Veterinary Emergency and Critical Care. In addition, the Center participated in the "Read the Label First" campaign with the Environmental Protection Agency's Consumer Labeling Initiative, led the veterinary community in observance of "National Poison Prevention Week," participated in the health committee for the Cat Fanciers Association and presented poison prevention tips on the official website and through ASPCA e-news alerts

c - Humane Education (\$7,198,543)

The ASPCA continues to be one of the premier organizations in the world for instilling the desire in young people to appreciate the world of animals and our relationship to them. From workshops to educational materials, the ASPCA Humane Education department continues to touch the lives of elementary and secondary school children throughout the U.S. In 2001, 4,860 activity books, in English and Spanish, were distributed to 1,215 schools in Buffalo, Nashville, Indianapolis, St. Louis, Tampa, El Paso, and Portland, OR. The department was able to donate thousands of books submitted to the Bergh Children's Book Awards, along with the Kids, Animals & Literature annotated bibliography, to schools, community centers, and organizations across the country.

In 2001, the Henry Bergh Children's Book Awards, first introduced in 2000, continued to grow in popularity. The 2000 ASPCA Henry Bergh Children's Book Award and Honor winners were announced at the American Library Association (ALA) conference in San Francisco to an overwhelming response from more than 160 librarians, publishers and authors attending the ceremony. Over 300 books were nominated for the 2001 awards.

The Animal Sciences department continued to play a vital role in improving the welfare of animals by providing consistent, high-quality information on animal care for widely diverse audiences. Staff members traveled from Boston to Honolulu to lecture on topics ranging from animal behavior to shelter medicine. The department provided more than 70 interviews to magazines, newspapers, radio and television. In the fall of 2001, the department finished work on "*The ASPCA Complete Guide to Pets*," which has been published.

In 2001 our National Shelter Outreach group (NSO) introduced an expanded grant program to the sheltering community that more effectively administered funds to organizations of merit. Additional larger grants were distributed across the country for programs that promoted animal well-being.

**American Society for the Prevention of Cruelty to Animals
Federal Employer Identification Number 13-1623829
Form 990 - Return of Organization Exempt From Income Tax - 2001**

Part III, Statement 1 - Program Service Accomplishments

c - Humane Education – (cont'd)

Through our Counseling Services, we also meet our mission of protecting injured and abandoned animals by improving the bond between animals and humans. The ASPCA intervention program, the first of its kind in the U.S. has the largest data set of animal cruelty perpetrators anywhere, which gives the criminal justice system an added tool when dealing with persons convicted of animal cruelty or neglect. The Counseling department's New York City Family VISION program is designed to highlight the link between animal abuse and family violence by bringing together animal welfare, law enforcement, and human service organizations, and by providing temporary foster care for the companion animals of victims of domestic violence, helps children and their pets stay together if the child is in foster care, and offers training for forensic psychology students on the needs of companion animals.

In 2001, the Pet Loss Hotline responded to approximately 625 calls and e-mails from grieving and anxious pet owners. The Counseling Services played a vital role in the ASPCA's efforts at the World Trade Center. Following the disaster, the department assumed responsibility for recruiting and scheduling more than 300 therapy dog teams that provided crisis intervention for 100 days for families and staff at the Family Assistance Center and manned the disaster hotline phone which compiled a database of addresses by street that were called in with missing or abandoned pets from Ground Zero.

d – Communications (\$6,306,258)

The Media Relations and Advertising, Public Information and Communications departments give the ASPCA the vital exposure via television, radio, print media and the Internet, that it needs to keep its good work in front of the public. It developed and arranged TV distribution for two high impact public service announcements that promoted pet adoption, "Find a Pet" and "Maya and Millie." The airtime for these PSA's that were picked up by local and cable TV stations in the U.S. was valued at nearly \$3 million.

In 2001, the media relations and advertising department promoted the ASPCA's name and mission around the world, especially following the September 11 attacks. After September 11, there was an overwhelming amount of media outreach surrounding the ASPCA's pet rescue efforts at the World Trade Center which were covered on all of the major news networks.

The ASPCA's website, aspca.org, continued to grow and evolve during 2001. Between October 2001 and March 2002, total visits to the site jumped from 232,000 to 414,000. Subscribers to "News Alert," the ASPCA's e-newsletter, increased to more than 70,000.

One of the most popular additions to the web site in 2001 was Animaland.org, a state-of-the-art children's educational section that has received excellent reviews since its inception. *Animal Watch*, an award-winning quarterly magazine, now reaches over 400,000 donors making it one of the most widely read animal magazines in the world.

In 2000, the Public Information Department handled hundreds of telephone calls, E-mail, and regular mail daily dealing with matters of concern to people concerned about animals. This department is skilled in assessing, resolving and referring every type of animal related inquiry.

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Part III, Statement 1 - Program Service Accomplishments

e - Animal Placement and Behavior Training (\$2,959,854)

The ASPCA's local adoption services continue to make the New York metro area a better place for companion animals, adopting out close to 1,700 animals in 2001. In just two years, adoptions completed through ASPCA/Petfinder shelter partners have soared – 500,000 animals were adopted in 2000, and another 600,000 found homes in 2001. Furthermore, the number of partner shelters across the country has increased from 400 to 4,000 in the same short period.

Some of the most popular programs offered by the ASPCA can be found at the Center for Behavioral Therapy, home of Companion Animal Services (CAS). Last year, CAS conducted more than 250 evaluations, completed 120 behavior appointments, and taught some 100 dog-training classes, including classes for both private clients and shelter dogs. In addition, the department developed a Behavioral Foster program, an intensive program in which dogs who have either been undersocialized or have behavioral problems are placed in homes with professional dog trainers who work with these dogs to make them adoptable.

f - Humane Law Enforcement (\$1,854,345)

In 2001, the ASPCA's Humane Law Enforcement (HLE) department became one of the most visible animal enforcement units in the world. Part of this exposure can be attributed to the tireless effort that these officers made at Ground Zero following the September 11 tragedy. In addition, the skyrocketing popularity of Animal Precinct, the Animal Planet network's new reality television series has allowed HLE to bring the problem of animal abuse into the national spotlight. This highly successful series follows HLE agents around New York City as they investigate cruelty complaints, rescue animals in distress, interview witnesses, arrest suspects, and prosecute cases in criminal courts.

g - Government Affairs and Public Policy (\$1,191,515)

The Government Affairs and Public Policy Department conducts outreach, information, and lobbying activities at the national, state and local levels. Nationally, the department lobbied for the passage of numerous bills into law in 2001, including the Puppy Protection Act which would have provided stronger standards of care for breeding female dogs and better enforcement of the Animal Welfare Act which regulates commercial kennels, the Downed Animal Protection Act which would ban the marketing and transport of downed animals who are too sick or injured to stand up and walk and require that they be humanely euthanized, the Animal fighting amendment which would prohibit the shipment of dogs and birds across state lines for purposes of fighting regardless of whether the state allowed it, the Bear Protection Act which would ban the interstate or foreign trafficking in bear gall bladders and bile, and in opposition to the Helms Amendment which excluded birds, rats, and mice used in research from the minimum standards of care under the Animal Welfare Act.

Ongoing monitoring continued on approximately 1,500 pieces of animal protection legislation in Congress and all fifty states. Legislative alerts and position statements were issued on approximately 60 bills in 30 states.

The department's state of the art interactive letter writing program, "Voice Your Opinion", helped generate thousands of letters to legislators supporting important animal protection legislation throughout the country.

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Statement 2: Schedule - Gain on Sale of Publicly Traded Securities - Line 8

| | | |
|-------------------------------|------------------|--------------|
| Gross Sales Proceeds | 3,520,403 | Line 8a |
| Original Cost | 4,100,775 | |
| Sales Expenses | <u>80,015</u> | |
| Total Cost and Sales Expenses | 4,180,790 | Line 8b |
| Net Loss | <u>(660,387)</u> | Lines 8c & d |

Statement 3: Special Fundraising Events - Line 9

| <u>Description</u> | <u>Gross Receipts</u> | <u>Direct Expenses</u> | <u>Gross Income</u> |
|--|--------------------------|----------------------------|-----------------------|
| Bergh Ball | 340,325 | 92,561 | 247,764 |
| Fairfield Grand Prix | 166,725 | 85,386 | 81,339 |
| Humane Awards Luncheon | 86,212 | 81,317 | 4,895 |
| Young Friends Benefit | 55,166 | 16,818 | 38,348 |
| Other Events | <u>10,777</u> | <u>9,950</u> | <u>827</u> |
| Totals | 659,205 | 286,032 | 373,173 |
| Less contributions included in Line 1a | <u>(125,565)</u> | | <u>(125,565)</u> |
| | <u>533,640</u> | <u>286,032</u> | <u>247,608</u> |
| | Gross Revenue to Line 9a | Direct Expenses to Line 9b | Net Income to Line 9c |

Statement 4: Other Changes in Net Assets - Line 20

| | |
|---|---------------------------|
| Unrealized losses on investment securities | (1,846,455) |
| Reductions in interests in perpetual trusts | <u>(1,879,006)</u> |
| Total Other Changes in Net Assets | <u><u>(3,725,461)</u></u> |

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NON-CASH DONATIONS

| | | |
|--|---------------------------------|--|
| <u>Humane Education- Special Projects</u> | | <i>donated to various schools</i> |
| In 2001 the ASPCA produced and distributed 4,860 English and Spanish language curriculum materials of "I Love Animals" to 1,215 elementary schools in Buffalo, NY (151), Nashville, TN (94), Indianapolis, IN (197), St Louis, MO (321), Tampa, FL (138), El Paso, TX (141), and Portland, OR (173) Each curriculum contained a booklet featuring 30 hands-on activities that promote the development of human attitudes among children in grades K through 3 The cost of these kits were \$27,297 If purchased individually, the booklets would have a fair market (retail) value of \$48,357 | | |
| | | \$27,297 |
| A similar curriculum of "Sharing the World with Animals" is also being developed for grades 4-6 in English and Spanish for distribution in 2002 The cost of this development was \$17,771 | | |
| | | 17,771 |
| In 2001 the ASPCA produced and distributed informational packets, which also included copies of our teacher newsletter AnimaLessons, to 368 elementary schools in Albany, NY (32), Boston, MA (202), Sacramento, CA (134) This corporate sponsored program helps schools educate students and helps shelters educate communities about the humane treatment of all animals and promotes animal adoption from local shelters The cost of this development was \$8,235 | | |
| | | 8,235 |
| <u>Donation of Horses</u> | <i>Green Chimneys</i> | <i>Brewster, NY</i> |
| The ASPCA purchased and donated "Premarin® foals" to the Green Chimneys organization both to assist the charity and to rescue the horses from being slaughtered These newborn horses had been produced and discarded as a byproduct in the manufacture of the menopause drug Premarin® (so called because it is estrogen gleaned from pregnant mares' urine) Green Chimneys will provide a home for these animals and use them in its charitable program which operates a sanctuary for animals and children The cost and fair value of this donation was \$14,130 | | |
| | | 14,130 |
| <u>Donation of Digital Cameras</u> | | |
| The ASPCA purchased and donated approximately 250 digital cameras to various animal shelters across the country that chose to participate in The Petfinder .com internet "Cyber Shelter " This website enables people to view animals available for adoption at shelters nationwide The cost and fair value of these donated cameras was \$38,760 | | |
| | | 38,760 |
| | Total non-cash donations | \$106,193 |

CASH GRANTS Cash grants were awarded to the following organizations to assist the ASPCA with its mission of alleviating fear, pain, cruelty and suffering in animals through scientific research, development and maintenance of shelters and animal care facilities, and the promotion of measures for developing the general appreciation and practice of humanity to and kind treatment of animals

| | | |
|----------------------------------|-----------------|--------|
| Center for Animal Care & Control | New York, NY | 26,250 |
| Berkshire Humane Society | Pittsfield, MA | 25,000 |
| Chimp Haven, Inc | San Antonio, TX | 25,000 |
| Johns Hopkins University | Baltimore, MD | 21,500 |
| Pasadena Humane Society SPCA | Pasadena, CA | 20,750 |
| Alley Cat Allies | Washington, DC | 20,000 |

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| | | |
|---|--------------------------|--------|
| Citizens for Animal Protection | Houston, TX | 20,000 |
| Erie County SPCA | Erie County, NY | 20,000 |
| Humane Society of Broward County | Ft Lauderdale, FL | 20,000 |
| Humane Society of Southern Arizona | Tucson, AZ | 20,000 |
| Indianapolis Humane Society | Indianapolis, IN | 20,000 |
| Kansas Humane Society | Wichita, KS | 20,000 |
| Louisiana SPCA | New Orleans, LA | 20,000 |
| Montana Spay/Neuter Task Force | Stevensville, MT | 20,000 |
| Nebraska Humane Society | Omaha, NE | 20,000 |
| North Central Texas Animal Shelter Coalition | North Richland Hills, TX | 20,000 |
| Operation CATNP | Raleigh, NC | 20,000 |
| Pets are Wonderful Support | San Francisco, CA | 20,000 |
| San Diego Humane Society | San Diego, CA | 20,000 |
| SPCA Cincinnati | Cincinnati, OH | 20,000 |
| St Hubert's Giralda | Madison, NJ | 20,000 |
| Wisconsin Humane Society | Milwaukee, WI | 20,000 |
| Little Shelter | Huntington, NY | 17,500 |
| Humane Society of New York | New York, NY | 16,000 |
| Delta Society | Renton, WA | 15,000 |
| The Alternatives Congress Trust Inc | Gaithersburg, MD | 15,000 |
| The Humane Society at Lollypop Farm | Fairport, NY | 15,000 |
| Animals in War Memorial Fund | London, UK | 14,500 |
| Neighborhood Cats, Inc | New York, NY | 12,500 |
| Animal Haven | Flushing, NY | 10,000 |
| Green Chimneys | Brewster, NY | 10,000 |
| H O R S E of Connecticut | Washington, CT | 10,000 |
| National Council of Pet Population and Study | Manasquan, NJ | 10,500 |
| NY City Police Foundation | New York, NY | 10,000 |
| The Animal Medical Center | New York, NY | 10,000 |
| Womans Humane Society | Bensalem, PA | 10,000 |
| International Society for the Protection of Mustangs & Burros | Lantry, SD | 9,000 |
| City Critters, Inc | New York, NY | 7,000 |
| Days End Farm Horse Rescue | Lisbon, MD | 6,500 |
| PROPAW | Los Angeles, CA | 6,500 |
| Texas Federation of Humane Societies | Manchaca, TX | 6,500 |
| Brooklyn Animal Resource Coalition | Brooklyn, NY | 6,000 |
| Irvine Animal Shelter | Irvine, CA | 5,250 |
| Equine Rescue Resource, Inc | Marysville, WA | 5,091 |
| American Humane Association | Englewood, CO | 5,000 |
| Animal Adoption Network, Inc | Fairfield, CT | 5,000 |
| Arizona Humane Society | Phoenix, AZ | 5,000 |
| Dubuque Humane Society | Dubuque, IA | 5,000 |
| Give A Dog A Bone | San Francisco, CA | 5,000 |
| Greater Androscoggin Humane Society | Auburn, ME | 5,000 |
| Hudson County Animal League | Jersey City, NJ | 5,000 |
| Humane Society of Central Delaware County | Delhi, NY | 5,000 |
| Humane Society of Yates County | Penn Yan, NY | 5,000 |
| Institute for the Development | New York, NY | 5,000 |

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| | | |
|---|---------------------|-------|
| ISLIP Animal Shelter | Bay Shore, NY | 5,000 |
| Least Resistance | Knightsen, CA | 5,000 |
| Lower Valley Humane Society | Brownsville, TX | 5,000 |
| Michigan Humane Society | Rochester Hills, MI | 5,000 |
| Oklahoma City Animal Services | Oklahoma City, OK | 5,000 |
| Oshkosh Area Humane Society | Oshkosh, WI | 5,000 |
| People's Anti-Cruelty Assn | Albuquerque, NM | 5,000 |
| Albuquerque Animal Rescue | Aguadilla, PR | 5,000 |
| Pr-Paws | Accord, NY | 5,000 |
| Rondout Valley Animals for Adoption | Beverly Hills, CA | 5,000 |
| The Amanda Foundation | Arcadia, CA | 5,000 |
| United Pegasus Foundation | Aptos, CA | 4,074 |
| Equine Research Foundation | Corinth, NY | 4,000 |
| Adirondack Save-A-Stray | Scotia, NY | 4,000 |
| Animal Protective Foundation | Astoria, NY | 4,000 |
| Francis' Friends, Inc | Somerville, MA | 4,000 |
| Grey2K USA | Miami, FL | 4,000 |
| Horse Protection Association | Woodside, NY | 4,000 |
| Kitty Caretakers | Denver, CO | 4,000 |
| Society of Animal Welfare Adm | East Hanover, NJ | 3,500 |
| Animal Welfare Federation of NJ | Fairfield, IA | 3,500 |
| Noah's Ark Animal Foundation | New York, NY | 3,500 |
| Strays from the Heart | Fort Salongo, NY | 3,100 |
| Angel's Gate Hospice | Sultan, WA | 3,091 |
| Pasado's Safe Haven | Montclair, NJ | 3,000 |
| Animal Welfare Federation | West Babylon, NY | 3,000 |
| Babylon Animal Shelter | Branford, CT | 3,000 |
| Branford Animal Shelter | San Clemente, CA | 3,000 |
| California Animal Welfare | Urbana, IL | 3,000 |
| Champaign County Humane Society | Port Washington, NY | 3,000 |
| Doing Things for Animals | Barrington, RI | 3,000 |
| New England Federation of Humane Societies | New York, NY | 3,000 |
| New York Save | New Canaan, CT | 3,000 |
| Strays & Others, Inc | Delavan, WI | 3,000 |
| Wisconsin Federated Human Societies, Inc | Lake Charles, LA | 2,750 |
| Calcasieu Parish Animal Control and Protection Dept | New York, NY | 2,750 |
| Tigger Foundation | Asheville, NC | 2,500 |
| Asheville Humane Society | Pasadena, CA | 2,500 |
| Association of Professional Humane Educators | Beatrice, NE | 2,500 |
| Beatrice Humane Society | Birmingham, AL | 2,500 |
| Greater Birmingham Humane Society | Lakeside, CA | 2,500 |
| Greyhound Adoption Center | Altamonte, FL | 2,500 |
| Greyhound Pets of America-Greater Orlando | Starr, SC | 2,500 |
| Greyhounds & Love Inc | Gainesville, FL | 2,500 |
| Jungle Friends Sanctuary | Brooklyn, NY | 2,500 |
| Mighty Mutts | Newcastle, OK | 2,500 |
| Mindy's Memory Primate Sanctuary, Inc | Plainview, NY | 2,500 |
| Nassau County SPCA | Carrollton, TX | 2,500 |
| Operation Kindness | | |

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| | | |
|--|------------------------|-------|
| Project Equus | Boulder, CO | 2,500 |
| Roxy's Sanctuary | Maspeth, NY | 2,500 |
| Spay/USA | Stratford, CT | 2,500 |
| Sri Lanka Wildlife | Nutley, NJ | 2,500 |
| Texas Agricultural Experiment | College Station, TX | 2,500 |
| Ulster County SPCA | Kingston, NY | 2,500 |
| Upper Cumberland Humane Society | Hendersonville, TN | 2,500 |
| For Our Friends, Inc | Oakland Gardens, NY | 2,250 |
| Greyhounds Only Inc | Winthrop Harbor, IL | 2,250 |
| WSPA | London, UK | 2,250 |
| Adopt-A-Dog | Greenwich, CT | 2,000 |
| Albany Area Humane Society | Albany, GA | 2,000 |
| Animal Rescue League of Boston | Boston, MA | 2,000 |
| Baltimore City Bureau of Animal Control | Baltimore, MD | 2,000 |
| Baltimore County Department of Health | Baldwin, MD | 2,000 |
| Bloomingtondale Animal Shelter Society | Bloomingtondale, NJ | 2,000 |
| Bridgeport Animal Shelter | Bridgeport, CT | 2,000 |
| Bridgeport Cat Project | Trumbull, CT | 2,000 |
| Chester County SPCA | West Chester, PA | 2,000 |
| Fox Valley Humane Society | Appleton, WI | 2,000 |
| Greyhound Adoption League | Tucson, AZ | 2,000 |
| Greyhound Friends - NJ | Westwood, NJ | 2,000 |
| Hanover County Animal Control | Hanover, VA | 2,000 |
| Humane Society of Baltimore County | Reisterstown, MD | 2,000 |
| Humane Society of Vero Beach & Indian River County | Vero Beach, FL | 2,000 |
| Kitty Kind | New York, NY | 2,000 |
| Meriden Humane Society | Meriden, CT | 2,000 |
| Mississippi Animal Rescue League | Jackson, MS | 2,000 |
| Murl-Rickers Island Cat Rescue | East Elmhurst, NY | 2,000 |
| National Animal Control Association | Kansas City, MO | 2,000 |
| Protect Antiguan Animals With a Smile | St Johns, Antigua, W I | 2,000 |
| Sacramento SPCA | Sacramento, CA | 2,000 |
| Sentient Creatures | New York, NY | 2,000 |
| Smithtown Animal Shelter | Smithtown, NY | 2,000 |
| SPCA/LA | Los Angeles, CA | 2,000 |
| Sumter County Humane Society | Americus, GA | 2,000 |
| Tait's Every Animal Matters | Westbrook, CT | 2,000 |
| Tangipahoa Parish Animal Control | Hammond, LA | 2,000 |
| University of Illinois Humane Education | Urbana, IL | 2,000 |
| Village Animal Hospital & Bird Clinic | Palm Bay, FL | 2,000 |
| Wings for Greyhound, Inc | Sedona, AZ | 2,000 |
| Homeward Bound Greyhounds Inc | Naples, FL | 1,900 |
| Rescued Racers | St Louis, MO | 1,870 |
| A C T | Little Neck, NY | 1,500 |
| Animal Pantry | Ozone Park, NY | 1,500 |
| Animal Shelter Society, Inc | Zanesville, OH | 1,500 |
| Being Kind | New York, NY | 1,500 |
| Bobby & The Strays | Ozone Park, NY | 1,500 |

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| | | |
|--|--------------------|-------|
| Chula Vista Animal Care Facility | Chula Vista, CA | 1,500 |
| Clinton Humane Society | Clinton, IA | 1,500 |
| Glen Cove Animal Lovers League | Glen Cove, NY | 1,500 |
| Greyhound Project Inc | Marblehead, MA | 1,500 |
| Humane Society of Seattle/King County | Bellevue, WA | 1,500 |
| Illinois Federation of Humane Societies | Peoria, IL | 1,500 |
| Jasa-Services | New York, NY | 1,500 |
| Jersey Shore Animal Center | Brick, NY | 1,500 |
| Le Cats on the Water | Whitestone, NY | 1,500 |
| Manhattan Valley Cat Rescue | New York, NY | 1,500 |
| Morgan County Humane Society | Martinsville, IN | 1,500 |
| Muffins Pet Connection | Brooklyn, NY | 1,500 |
| New Yorkers for Companion Animals | New York, NY | 1,500 |
| Perfect Pals | New York, NY | 1,500 |
| Personality Pups | Brooklyn, NY | 1,500 |
| Pluto | Staten Island, NY | 1,500 |
| SAVE | Flushing, NY | 1,500 |
| Save our Stray | Brooklyn, NY | 1,500 |
| Tabby Trappers | Brooklyn, NY | 1,500 |
| The HSUS | Dallas, TX | 1,500 |
| National Greyhound Adoption Program | Philadelphia, PA | 1,417 |
| Wildlife Advocacy Project | Washington, DC | 1,400 |
| Animal Control Association | Chattanooga, TN | 1,250 |
| Humane Society of Summer County | Hendersonville, TN | 1,250 |
| Seattle Animal Control | Seattle, WA | 1,250 |
| Texas Animal Control Association | Brownsville, TX | 1,250 |
| Kathy Forian- Rescuer | Monroe, NY | 1,100 |
| Alison Cardona- Rescuer | Brooklyn, NY | 1,000 |
| Animal Advocacy CT | Guilford, CT | 1,000 |
| Animal Care Equipment | Crestline, CA | 1,000 |
| Animal Welfare Association | Voorhees, NJ | 1,000 |
| Animals in Distress | Catasauqua, PA | 1,000 |
| Association of Pet Dog Trainers | Sacramento, CA | 1,000 |
| Bay Area SPCA | Kemah, TX | 1,000 |
| Brown County Humane Association | Georgetown, OH | 1,000 |
| Caring Hands Humane Society | Newtown, KS | 1,000 |
| Cat Care Extraordinaire | New York, NY | 1,000 |
| Central Nebraska Humane Society | Great Island, NE | 1,000 |
| Chautauqua County Humane Society | Jamestown, NY | 1,000 |
| City of Henderson Animal Control | Las Vegas, NV | 1,000 |
| Colorado Humane Society | Englewood, CO | 1,000 |
| Companion Animal Network, Inc | Forest Hills, NY | 1,000 |
| Companions for Life | Fairfield, CT | 1,000 |
| CT Animal Population Control | Hartford, CT | 1,000 |
| David Jacobs- Rescuer | Bayside, NY | 1,000 |
| Federated Humane Societies of Pennsylvania | Bensalem, PA | 1,000 |
| Feline Companions, Inc | Richmond Hill, NY | 1,000 |
| For Paws | Brooklyn, NY | 1,000 |

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| | | |
|---|---------------------------------------|---------------------------|
| Frederick County Humane Society | Frederick, MD | 1,000 |
| Friends of Chattanooga | Chattanooga, TN | 1,000 |
| Gretchen Pack | New York, NY | 1,000 |
| HSUS Midwest Regional Office | Des Moines, IA | 1,000 |
| HSUS Northern Rockies Regional Office | Billings, MT | 1,000 |
| Humane Society of Washington County | Maugansville, MD | 1,000 |
| Humane Society Austin Texas | Austin, TX | 1,000 |
| Humane Society of Gallatin Valley | Bozeman, MT | 1,000 |
| Humane Society of The High Plains | Great Bend, KS | 1,000 |
| Humane Society Southern Arizona | Tucson, AZ | 1,000 |
| Idaho Humane Society | Boise, ID | 1,000 |
| Kansas Animal Control Association | Chanute, KS | 1,000 |
| Kent Kats | Kent, CT | 1,000 |
| Kitty Committee | Brooklyn, NY | 1,000 |
| Lawrence Humane Society | Lawrence, KS | 1,000 |
| League in Support of Animals | New Orleans, LA | 1,000 |
| Longshot Fund | Ozone Park, NY | 1,000 |
| Loudon County Humane Society | Lenoir City, TN | 1,000 |
| Loving Touch | Fresh Meadows, NY | 1,000 |
| Maricopa County Animal Control | Phoenix, AZ | 1,000 |
| Marine Corps-Military Police Animal Control Shelter | Quantico, VA | 1,000 |
| Michigan Purebred Dog Rescue Alliance | Dexter, MI | 1,000 |
| Montgomery County Humane Society | Conroe, TX | 1,000 |
| Naomi Gauntlett- Rescuer | Flushing, NY | 1,000 |
| No More Homeless Pets | Gainesville, FL | 1,000 |
| Oswego County Humane Society | Mexico, NY | 1,000 |
| Peoria Animal Welfare Shelter | Peoria, IL | 1,000 |
| Pet Adoption League | Hackettstown, NJ | 1,000 |
| Richmond Animal League | Richmond, VA | 1,000 |
| San Francisco Animal Care | San Francisco, CA | 1,000 |
| SPCA of Luzerne County | Wilkes Barre, PA | 1,000 |
| SPCA of Montgomery County | Conroe, TX | 1,000 |
| SPCA of NE North Carolina | Elizabeth, NC | 1,000 |
| St Bernard Parish Animal Shelter | Chalmette, CA | 1,000 |
| Starshine Animal Haven | Brooklyn, NY | 1,000 |
| State Humane Association of CA | Novato, CA | 1,000 |
| The Anti-Cruelty Society | Chicago, IL | 1,000 |
| The Federation of Animal Care & Control Agencies | Tacoma, WA | 1,000 |
| Virginia Association of Control of Animals | Chesapeake, VA | 1,000 |
| Wanderers Rest Humane Association | Canastota, NY | 1,000 |
| Worthy Pause | Bayside, NY | 1,000 |
| University of Illinois of Veterinarian Stipends (\$5,500) | Urbana, IL | 5,500 |
| Animal Sciences Educational Stipends (none over \$1,000) | | 13,100 |
| Misc Grants under \$1,000 each | | 30,487 |
| | Total cash grants | <u><u>1,153,132</u></u> |
| | Total cash and non-cash grants | <u><u>\$1,259,325</u></u> |

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**Statement 6: Schedule of Land, Building, Equipment and Accumulated
Depreciation (Line 57), and Depreciation Expense (Line 42)**

| <u>Description</u> | <u>Cost</u> | <u>Depreciation Expense</u> | <u>Accumulated Depreciation</u> | <u>Book Value</u> |
|-----------------------|-------------------|---------------------------------|-------------------------------------|-----------------------|
| Land | 5,850,500 | | | 5,850,500 |
| Building | 14,761,877 | 337,658 | 3,194,495 | 11,567,382 |
| Fixtures | 1,659,772 | 115,730 | 431,241 | 1,228,531 |
| Furniture & Equipment | 3,890,863 | 458,216 | 2,263,057 | 1,627,806 |
| Automobiles | 583,784 | 127,730 | 426,664 | 157,120 |
| Totals | <u>26,746,796</u> | <u>1,039,334</u> | <u>6,315,457</u> | <u>20,431,339</u> |

Statement 7: Schedule of Investments in Securities - Line 54

| <u>Description</u> | <u>Market Value at December 31</u> | |
|-----------------------------|------------------------------------|-------------------|
| | <u>2,000</u> | <u>2,001</u> |
| Equity Investments | 10,405,397 | 8,850,305 |
| U S Gov't & Corporate Bonds | 1,733,614 | 1,522,641 |
| Mutual Funds | 11,583,279 | 11,941,016 |
| Total | <u>23,722,290</u> | <u>22,313,962</u> |

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Statement 8: Members of Board of Directors

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| <u>Name and Address</u> | <u>Title & Time Devoted</u> | <u>Total 2001 Compensation</u> | <u>Contributions to Employee Benefit Plans</u> | <u>Expense Acct and other Allowances</u> |
|---|---|--------------------------------|--|--|
| Steven M Elkman 424 East 92nd Street New York, NY 10128 | Chairman served as needed | None | None | None |
| Wendy H Carhart 424 East 92nd Street New York, NY 10128 | Vice Chairman and Secretary served as needed | None | None | None |
| James W Gerard 424 East 92nd Street New York, NY 10128 | Vice Chairman and Treasurer served as needed | None | None | None |
| Edwin M Hershey 424 East 92nd Street New York, NY 10128 | Vice Chairman served as needed | None | None | None |
| Hoyle C Jones 424 East 92nd Street New York, NY 10128 | Vice Chairman served as needed | None | None | None |
| Linda Lloyd Lambert 424 East 92nd Street New York, NY 10128 | Vice Chairman served as needed | None | None | None |
| Alexandra G Bishop 424 East 92nd Street New York, NY 10128 | Director served as needed | None | None | None |
| J Elizabeth Bradham 424 East 92nd Street New York, NY 10128 | Director served as needed As of December 2001 | None | None | None |
| Reenie Brown 424 East 92nd Street New York, NY 10128 | Director served as needed | None | None | None |
| George F Clements, Jr 424 East 92nd Street New York, NY 10128 | Director served as needed | None | None | None |
| Fred Drasner 424 East 92nd Street New York, NY 10128 | Director served as needed | None | None | None |
| Jonathan D Farkas 424 East 92nd Street New York, NY 10128 | Director served as needed | None | None | None |
| Dr Alan M Kelly 424 East 92nd Street New York, NY 10128 | Director served as needed | None | None | None |

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Statement 8: Members of Board of Directors

Page 2 of 2

| <u>Name and Address</u> | <u>Title & Time Devoted</u> | <u>Total 2001 Compensation</u> | <u>Contributions to Employee Benefit Plans</u> | <u>Expense Acct and other Allowances</u> |
|---|---|--------------------------------|--|--|
| Franklin Maisano 424 East 92nd Street New York, NY 10128 | Director served as needed | None | None | None |
| William Morrison Matthews 424 East 92nd Street New York, NY 10128 | Director served as needed | None | None | None |
| Gurdon H Metz 424 East 92nd Street New York, NY 10128 | Director served as needed | None | None | None |
| James L Nederlander 424 East 92nd Street New York, NY 10128 | Director served as needed As of December 2001 | None | None | None |
| John M B O'Connor 424 East 92nd Street New York, NY 10128 | Director served as needed | None | None | None |
| Marsha Reines Perelman 424 East 92nd Street New York, NY 10128 | Director served as needed | None | None | None |
| W Stewart Pinkerton, Jr 424 East 92nd Street New York, NY 10128 | Director served as needed | None | None | None |
| Urling I Searle 424 East 92nd Street New York, NY 10128 | Director served as needed | None | None | None |
| William Secord 424 East 92nd Street New York, NY 10128 | Director served as needed | None | None | None |
| Richard Silverman 424 East 92nd Street New York, NY 10128 | Director until 2/99 served as needed | None | None | None |
| Fredenck W Wagner III 424 East 92nd Street New York, NY 10128 | Director served as needed | None | None | None |
| Jeanne W Waller 424 East 92nd Street New York, NY 10128 | Director served as needed | None | None | None |

Statement 9 : 2001 FORM 990 - Part VIII

Relationship of Activities to the Accomplishment of Exempt Purposes

The program fees reported in Column (E), Part VII, Page 6 of Form 990 contribute importantly to the accomplishment of the ASPCA's exempt purpose in fulfilling its mission to prevent cruelty and alleviate fear, pain, and suffering in animals as follows

Part VII

Line No

- 93a Our animal hospital and mobile clinics provide veterinary care for sick, injured and abused animals and perform spay and neuter operations to prevent pet overpopulation which leads to animal suffering
- 93b The ASPCA Animal Poison Control Center is a 24 hour, 365 day a year emergency telephone hotline continuously staffed by veterinarians trained in animal toxicology to alleviate suffering and save animals lives The fees charged partially cover a substantial technological investment in this program
- 93c Fees charged for our animal placement program cover a small portion of the costs of housing, feeding, medical care and humane supervision of companion animals until they are adopted into good homes
- 93d Fees cover a portion of the costs of hundreds of companion animal behavior training classes in support of responsible pet ownership, and specialized behavior therapy for troubled animals to make them more adoptable
- 93e Fees for educational materials cover a small part of the cost of developing and producing a wide variety of humane educational materials for use by educators, animal shelters, children and parents

American Society for the Prevention of Cruelty to Animals

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Statement 10: List of States Receiving Form 990 - Line 90

| | | | |
|-------------|---------------|----------------|----------------|
| Alabama | Indiana | New Hampshire | Rhode Island |
| Alaska | Kansas | New Jersey | South Carolina |
| Arizona | Kentucky | New Mexico | Tennessee |
| Arkansas | Louisiana | New York | Utah |
| California | Maine | North Carolina | Virginia |
| Colorado | Maryland | North Dakota | Washington |
| Connecticut | Massachusetts | Ohio | West Virginia |
| Florida | Michigan | Oklahoma | Wisconsin |
| Georgia | Minnesota | Oregon | |
| Illinois | Mississippi | Pennsylvania | |

American Society for the Prevention of Cruelty to Animals

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Sch. A Form 990 - Organization Exempt Under Section 501(c)(3) - 2001

Statement 11: Confidential Schedule of Contributors - Part IV-A Line 26b

(Not open to public inspection)

2% of certain revenue sources for 1997 through 2000 per line 26a 1,930,066

**Contributor whose cumulative gifts for 1997 through
2000 exceeded the line 26a amount**

None

Total to Part IV-A Line 26b \$0